PhillipCapital
Your Partner In Finance

Investment Advisory

PhillipCapital Investment Advisory

Products: Salient features

	SPARKLE	IMPACT Flexi-Cap	OASIS	NAUTICAL	COSMOS	RATNATRAYA
Investment Philosophy	Dynamic Portfolio with 3 Plans: Constant, Flexi & Dynamic	Specially curated portfolio. Invest in ideas of today & trends of tomorrow	• Quasi Model Portfolio as per investor risk tolerance • Curated portfolio with a mix of diversified stocks • Different weights			Growth oriented companies utilizing scuttlebutt approach
Allocation	Customize: Large, Mid & Small Cap	Perfect blend of Large, Mid & Small Cap	Large Cap	Mid & Small Cap	Flexi Cap	Customized
Number of Stocks	Investor can select, Adviced = 20	15 to 20	9 to 15	15 to 25	18 to 25	Cherry Picked 10 to 25 stocks
Holding period	Medium term	Long term	Medium to long term			Medium to Long term

Our Process

As per advisory product specified by investor

Investment Advisory Portfolio

1. Investment Advisor (IA) email’s to investor
2. Investor trades . Trades are informed to IA
3. IA tracks investment advisory portfolio
4. IA advises investor to reshuffle or exit
5. Investor reshuffles or exits. Trades are informed to IA

OASIS, NAUTICAL & COSMOS

Investment Advisory

Oasis, Nautical & Cosmos Investment Advisory

OASIS Investment Advisory is a service to advice on primarily a Large Cap oriented portfolio of stocks. This model portfolio product helps investors in building and tracking the portfolio of stocks for medium to long term. A few other Caps may be adviced during the journey of the product.

NAUTICAL Investment Advisory is a service to advice on primarily a Mid & Small Cap oriented portfolio of stocks. This model portfolio product helps investors in building and tracking the portfolio of stocks for long term. A few large cap may also be adviced during the journey of the product

COSMOS Investment Advisory is a service to advice on a MultiCap model portfolio. This model portfolio product comprises of a Large Cap, Mid Cap and Small Cap stocks.

It is suitable for long term investors with Investment Horizon of 3 to 5 years.
This service is not suitable for investors evaluating on a Monthly or Quarterly basis.

PhillipCapital OASIS Investment Advisory

Objective & Features

- To advice in a portfolio of around 10 companies which can help generate alpha with focus on long term capital appreciation. This is a carefully crafted portfolio with companies having higher Market Capitalization. These are stocks generally helps in relatively stable wealth creation and may payout steady dividends.
- Large Cap Oriented portfolio
- Suitable for Investors with lower risk tolerance
- Investment horizon: minimum 3 years
- Benchmark: Nifty 100
- Cherry picked 10 stocks. Liquid component may vary
- Minimum Investment Amount Rs 10 Lakh & Top Up in multiple Rs 1 Lakh
- Plan: Lumpsum; Lumpsum with SEP; STP
- Acceptable Instruments: Liquid Assets or Portfolio of stocks
- Dedicated demat account
- Charges: Advisory Fees & other charges as applicable
- Monthly report

PhillipCapital NAUTICAL Investment Advisory

Objective & Features

- To advice in a mix & match portfolio of Mid & Small Cap companies. This portfolio is more long term in nature and swings may be an integral part of journey of the investment.
- Mid & Small Cap Oriented portfolio
- Suitable for Investors with higher risk tolerance
- Investment horizon: minimum 3 years
- Benchmark: Nifty MidSmall400
- Cherry picked 15 to 20 stocks. Liquid component may vary
- Minimum Investment Amount Rs 10 Lakh & Top Up in multiple Rs 1 Lakh
- Plan: Lumpsum; Lumpsum with SEP; STP
- Acceptable Instruments: Liquid Assets or Portfolio of stocks
- Dedicated demat account
- Charges: Advisory Fees & other charges as applicable
- Monthly report

PhillipCapital COSMOS Investment Advisory

Objective & Features

- To advice in a mix & match portfolio of around 18 to 22 companies which can help generate alpha with focus on long term capital appreciation. This is a carefully crafted portfolio with companies from Large Cap, Mid Cap and Small Cap universe henceforth enabling stability along with growth potential.
- Flexi Cap portfolio
- Suitable for Investors with medium risk tolerance
- Investment horizon: minimum 3 years
- Benchmark: Nifty 500
- Cherry picked 18 to 22 stocks. Liquid component may vary
- Minimum Investment Amount Rs 10 Lakh & Top Up in multiple Rs 1 Lakh
- Plan: Lumpsum; Lumpsum with SEP; STP
- Acceptable Instruments: Liquid Assets or Portfolio of stocks
- Dedicated demat account
- Charges: Advisory Fees & other charges as applicable
- Monthly report

Why OASIS, NAUTICAL & COSMOS

WELL CRAFTED PORTFOLIOS

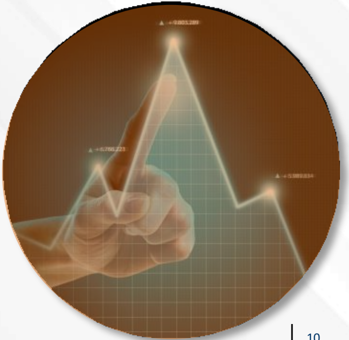
FLEXIBILITY TO CHOOSE

EASE OF INVESTMENT

TRACKING & EVALUATION PROCESS

Stock Selection – OASIS, NAUTICAL & COSMOS

- OASIS: Advising on well established companies with continued earnings prospects
- NAUTICAL: Advising on companies with better business model along with preferable relative valuations and good growth prospects available at better discounts
- COSMOS: Combination of OASIS & NAUTICAL
- Diversified Portfolio wherein maximum sector limit of 30%
- Mid & Small Cap check based on 30 days average traded value
- Stock selection is from both NSE and BSE Stocks
- Scan for restricted list
- Generally range of Cash may generally vary between 0 to 20%



Month End Report – OASIS, NAUTICAL & COSMOS

A — CORPUS
Initial, Addition, Withdrawal, Net

B — PERFORMANCE
TWRR, Portfolio Value

C — TOP HOLDINGS & SECTOR ALLOCATION
Allocations

D — STOCK DETAILS
Symbol, ISIN, Quantity, Close Price, Value

PRECIS...

OASIS, NAUTICAL & COSMOS Investment Advisory

- Model Portfolio along with weights
- Focused portfolio where liquid component may vary Structured Advisory
- Philosophy is to stay invested for medium to long term

Risk Factors

- Equity Market Risk
- Execution Risk
- Exchange / Clearing Corporation / Depository
- Default risk Other risks (Black Swan event)

Get Started

PhillipCapital Investment Advisory

- Risk Profiling Questionnaire: Account is accepted with score > 12
- Initial Corpus: Minimum Capital of Rs 10 Lakh – Cash or Stock
- Account Opening process

MODE OF PAYMENT	*By Fund transfer / Cheque*
ACCOUNT ACTIVATION	*3 to 4 business working days*
OPERATIONS	*Equity or units to be held in a DP account opened in investor name*
REPORTING	*Monthly Performance Report, Transaction, Holding & Corporate Action Report*

Investment Advisory

PhillipCapital (India) Pvt Ltd (Registration- Non -individual)
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Disclaimer

Investment in securities market are subject to market risks. Read all the related documents carefully before investing. Registration granted by SEBI, membership of BASL (in case of IAs) and certification from NISM in no way guarantee performance of the intermediary or provide any assurance of returns to investors

Risk of loss in trading/investment can be substantial and even more than the amount / margin given by you. You should carefully consider whether trading/investment is appropriate for you in light of your experience, objectives, financial resources and other relevant circumstances. PhillipCapital and any of its employees, directors, associates, group entities, or affiliates shall not be liable for losses, if any, incurred by you.
You are further cautioned that trading/investments in financial markets are subject to market risks and are advised to seek independent third party trading/investment advice outside PhillipCapital/group/associates/affiliates/directors/employees before and during your trading/investment. There is no guarantee/assurance as to returns or profits or capital protection or appreciation. PhillipCapital and any of its employees, directors, associates, and/or employees, directors, associates of PhillipCapital's group entities or affiliates is not inducing you for trading/investing in the financial market(s). Trading/Investment decision is your sole responsibility.
You must also read the Risk Disclosure Document and Do's and Don'ts before investing. Kindly note that past performance is not necessarily a guide to future performance.

For detailed Disclaimer, please visit our website: www.phillipcapital.in

Let’s Begin

Thank You